

B 210A (Form 210A) (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re **Lehman Brothers Holdings Inc.**

Case No. 08-13555  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

**York Global Finance BDH, LLC**  
Name of Transferee

**Citigroup Financial Products Inc.**  
Name of Transferor

Name and Address where notices to transferee  
should be sent:

Court Claim # (if known): 22194

York Global Finance BDH, LLC  
c/o York Capital Management  
767 5<sup>th</sup> Avenue, 17<sup>th</sup> Fl.  
New York, NY 10153  
Attn: Lauren Searing

Amount of Claim Transferred:  
\$14,600,000.00

Date Claim Filed: 9/21/2009

Name and Address where transferee payments  
should be sent (if different from above):

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ John Fosina  
Transferee/Transferee's Agent

Date: 8/22/2014

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re:

LEHMAN BROTHERS  
HOLDINGS, INC., et al.,

Debtors

Case No. 08-13555

Chapter 11

Jointly Administered

**NOTICE AND EVIDENCE OF TRANSFER OF  
CLAIM PURSUANT TO RULE 3001(e)**

PLEASE TAKE NOTICE that **\$14,600,000.00** of or an undivided 68.87% ownership interest in the claim set forth below (the "Transferred Claim"), of **Citigroup Financial Products Inc.** ("Assignor") (or Assignor's predecessor) filed as an original or amended Proof of Claim against the Debtor(s):

Proof of Claim Amount

Proof of Claim  
No.

\$21,199,497.00

22194

has been transferred and assigned to **York Global Finance BDH, LLC** ("Assignee"). The signature of Assignor on this document is evidence of the transfer of \$14,600,000.00 or an undivided 68.87% ownership interest in the claim and all rights thereto.

Assignor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Assignee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to the Assignee.


ASSIGNEE: York Global Finance BDH, LLC

Address: c/o York Capital Management  
767 5th Ave, 17th Fl  
New York, NY 10153

Attn: Lauren Searing

Phone: 212-710-6549

Email: bankdebt@yorkcapital.com

Signature: 

Name: John J. Fosina

Title: Chief Financial Officer

Date: 8-19-2014

ASSIGNOR: Citigroup Financial Products  
Inc.

Address: 1615 Brett Road, Bldg 3  
New Castle, DE 19720

Attn: Brian Blessing / Brian Broyles

Phone: 302-324-6660 / 302-894-6175

Email: brian.m.blessing@citi.com /  
brian.broyles@citi.com

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re:

LEHMAN BROTHERS  
HOLDINGS, INC., et al.,

Debtors

Case No. 08-13555

Chapter 11

Jointly Administered

**NOTICE AND EVIDENCE OF TRANSFER OF  
CLAIM PURSUANT TO RULE 3001(c)**

PLEASE TAKE NOTICE that \$14,600,000.00 of or an undivided 68.87% ownership interest in the claim set forth below (the "Transferred Claim"), of **Citigroup Financial Products Inc.** ("Assignor") (or Assignor's predecessor) filed as an original or amended Proof of Claim against the Debtor(s):

| Proof of Claim Amount | Proof of Claim<br>No. |
|-----------------------|-----------------------|
| \$21,199,497.00       | 22194                 |

has been transferred and assigned to **York Global Finance BDH, LLC** ("Assignee"). The signature of Assignor on this document is evidence of the transfer of \$14,600,000.00 or an undivided 68.87% ownership interest in the claim and all rights thereto.

Assignor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Assignee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to the Assignee.

ASSIGNEE: York Global Finance BDH, LLC

Address: c/o York Capital Management  
767 5th Ave, 17th Fl  
New York, NY 10153

Attn: Lauren Searing

Phone: 212-710-6549

Email: bankdebt@yorkcapital.com

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

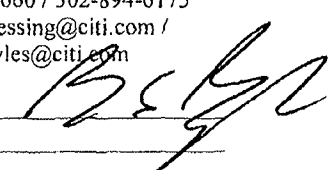
ASSIGNOR: Citigroup Financial Products  
Inc.

Address: 1615 Brett Road, Bldg 3  
New Castle, DE 19720

Attn: Brian Blessing / Brian Broyles

Phone: 302-324-6660 / 302-894-6175

Email: brian.m.blessing@citi.com /  
brian.broyles@citi.com

Signature: 

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Brian S. Broyles  
Authorized Signatory

August 21, 2014